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S/N 10/692,779

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Scott Dart et al.	Examiner:	Ford, Grant M.
Serial No.:	10/692,779	Group Art Unit:	2141
Filed:	October 24, 2003	Docket No.:	14917.0677US11; 305881.01
Title:	SYSTEMS AND METHODS FOR THE IMPLEMENTATION OF A DIGITAL IMAGES SCHEMA FOR ORGANIZING UNITS OF INFORMATION MANAGEABLE BY A HARDWARE/SOFTWARE INTERFACE SYSTEM		

PETITION TO EXPUNGE PURSUANT TO 37 CFR § 1.59 & MPEP § 724.05

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the documents listed on Exhibit A hereto ("Materials") were submitted concurrently herewith in sealed envelope(s) pursuant to MPEP § 724.02. To the extent that any of the Materials are found not to be important to a reasonable examiner in deciding upon patentability ("Criteria"), Petitioner requests that all of the Materials, or any portion of such Materials not meeting the Criteria, be expunged and removed from the official file for the above-referenced application.

Pursuant to MPEP § 724.05, Petitioner makes the following statements:

1. The Materials are proprietary subject to a legal obligation in: Commission of European Communities vs. Microsoft, Case No. COMP/C-3/37792 (hereafter, "Litigation");
2. The Materials have not otherwise been made public;
3. Petitioner commits to retain the Materials for the period of any patent with regard to which the Materials are submitted;
4. This petition to expunge is being made on behalf of the party in interest on whose behalf the Materials were submitted; and
5. The appropriate fee, as set forth in 37 C.F.R. § 1.17(g), should be charged for a large entity to Deposit Account No. 13-2725.

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Please contact the undersigned if any further information is needed with respect to this petition. Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

Dated: January 22, 2008



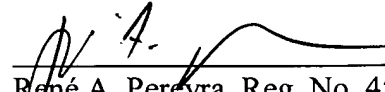

René A. Percyra, Reg. No. 45,800
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PO Box 2903
Minneapolis, MN 55402-0903
303.357.1637

Exhibit A
List of Materials Submitted Under Seal Pursuant to MPEP § 724.02

	Microsoft Corporation. <i>Innovation Report Microsoft Distributed File System Replication</i> . July 31, 2006; pp. 1-40.
	Advisor to the Monitoring Trustee. <i>Innovation Report Review Distributed File System Replication (DFS-R)</i> . December 3, 2006; pp. 1-4.
	Advisor to the Monitoring Trustee. <i>Review of Distributed File System - Replication Innovation Report</i> . January 25, 2007; pp. 1-4.
	Advisors to the Monitoring Trustee. <i>Summary Review of Microsoft Innovation Claims - (DFS-R)</i> . Annex I to the Statement of Objections in Case 37792; February 22, 2007; pp. 2-4.
	Advisors to the Monitoring Trustee. <i>Summary Review of Microsoft Innovation Claims - Microsoft's Claimed Innovative Intellectual Property in Distributed File System Replication (DFS-R1)</i> . Annex 5; February 22, 2007; Part 1, Section 2.
	Advisors to the Monitoring Trustee. <i>Summary Review of Microsoft Innovation Claims - (DFS-R)</i> . Annex 4; February 22, 2007.
	Microsoft Corporation. <i>Innovations in Distributed File System Replication (DFS-R) Protocol</i> . Filed April 23, 2007; pp. 1-13.
	Advisors to the Monitoring Trustee. <i>Summary Review of Microsoft Innovation Claims - Microsoft's Claimed Innovative Intellectual Property in Distributed File System Replication (DFS-R1)</i> . March 3, 2007; Part 1, Section 2.
	Microsoft Corporation. <i>Microsoft's Comments on the "Trustee Summary Review of Microsoft Innovation Claims" dated 3 March 2007</i> . Appendix A; June 11, 2007; pp. 28-29.
	Monitoring Trustee. <i>Reply to Microsoft Response to the Statement of Objections (SO) Case 37792 - (DFS-R)</i> . The Commission's Assessment of Microsoft's Innovation Claims (Annex Table); July 8, 2007; pp. 7-10.

	Monitoring Trustee. <i>Reply to Microsoft Response to the Statement of Objections (SO) Case 37792 - (DFS-R / FRS2) Microsoft's Innovation Claims for the Distributed File System Replication Protocol</i> . July 8, 2007; Section 10.
	Monitoring Trustee. <i>Reply to Microsoft Response to the Statement of Objections (SO) Case 37792 (Updated References)</i> . July 8, 2007.
	Microsoft Corporation. <i>Reply to Arguments Regarding Distributed File System Replication (DFS-R) Protocol</i> . Filed August 31, 2007; pp. 1-7.



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PROPRIETARY MATERIAL SUBMITTED PURSUANT TO MPEP § 724.02

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items enclosed in the attached sealed envelope(s) ("Disclosed Documents") are submitted pursuant to MPEP § 724.02 as being proprietary pursuant to a legal obligation in: Commission of European Communities vs. Microsoft, Case No. COMP/C-3/37792 (hereafter, "Litigation"). For convenience, Exhibit A attached hereto lists all of the Disclosed Documents. A petition to expunge the Disclosed Documents is filed separately and concurrently herewith.

The Litigation involves antitrust laws in Europe, and the assignee of the present application ("Assignee") was asked to demonstrate innovation in certain technology in order to support a licensing price schedule. In this context, the Assignee mapped patents, patent applications, and trade-secrets to the technology being licensed. The Assignee also exchanged written papers with the governmental tribunal in Europe prosecuting the Litigation – the Commission of European Communities ("Commission"). During the Litigation, the Assignee, Commission, and certain third parties produced written correspondence that discussed the validity, scope, patentability, and/or innovation embodied in the subject patents, patent applications, and trade secrets. The Disclosed Documents comprise some of that

correspondence, and the above-identified application has been identified as related to the Litigation.

Although not subject to a “protective order” in the sense that term is used in U.S. litigation, the Disclosed Documents are proprietary pursuant to the rules of the Commission, and not to be shared with third parties outside of the Litigation. The Commission granted Assignee permission to provide the Disclosed Documents to the USPTO pursuant to MPEP § 724.02. The Examiner is invited to contact the undersigned at any time to discuss the Disclosed Documents, the Litigation, or the statements made herein.

The Examiner is invited to review all of the Disclosed Documents thoroughly. In some instances, Exhibit A notes specific page or section numbers with respect to the Disclosed Documents. Such page or section citations are not intended to be limiting; nor are they intended to imply that such pages or sections are material or that other pages or sections may be immaterial. Rather, for the convenience of the Examiner, such citations are provided to pages or sections that Applicants are aware may discuss the present application or related technology. In addition, some Disclosed Documents may be cumulative but are disclosed to provide the Examiner a fuller context of the Litigation proceedings. Full consideration of the items listed is respectfully requested.

This submission should be considered because it is submitted before the mailing date of the Notice of Allowance under 37 CFR § 1.311.

No representation is made that a reference is “prior art” within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not “prior art.” Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

In addition, Applicants note that Applicants’ agent conferred by phone with Ms. Terri Dye of the Office of Patent Legal Administration in the USPTO on November 9, 2007. Ms. Dye indicated that the Disclosed References need not be submitted as part of an Information Disclosure Statement or on a Form 1449. Rather, Ms. Dye indicated that submission under MPEP § 724.02 with a petition to expunge under MPEP § 724.05 was sufficient to ensure the Examiner will consider the Disclosed Documents, thereby satisfying Applicants’ duties of candor and good faith pursuant to 37 CFR § 1.56. If an Information Disclosure Statement under

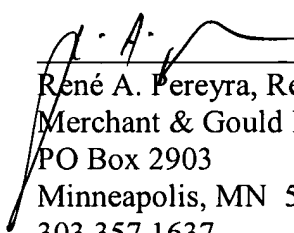
37 CFR §§ 197, 198 is necessary, Applicants ask that the undersigned be informed as soon as possible so that appropriate steps may be taken.

No fees are believed due with this submission; however, please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

Dated: January 22, 2008




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